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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 3699200	
In Re Application Of: John Hendricks				
Serial No. 09/966,594	Filing Date October 1, 2001	Examiner N/A	Group Art Unit 2611	
Title: Set Top Terminal for Organizing Program Options available in Television Delivery System				
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Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
Technology Center 2600				
<b>37 CFR 1.97(b)</b>				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
<b>37 CFR 1.97(c)</b>				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
<b>OR</b>				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) of 1978)

Docket No.  
3698D10

In Re Application: John Hendricks

AUG 15 2002

PATENT &amp; TRADEMARK OFFICE

Serial No.

09/966,594

Filing Date

October 1, 2001

Examiner

N/A

Group Art Unit

2611

Set Top Terminal for Organizing Program Options available in Television Delivery System

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
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Dated: August 15, 2002

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CC:

 <p style="text-align: center;"><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p>	Docket Number (Optional) <b>3698D10</b>	Application Number <b>09/966,594</b>
	Applicant(s) <b>John Hendricks</b>	
	Filing Date <b>October 1, 2001</b>	Group Art Unit <b>2611</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,223,924	6/29/1993	Hugo Strubbe	725	46	<b>RECEIVED</b> <b>AUG 19 2002</b> <b>Technology Center 2600</b>
	2.	5,410,344	4/25/1995	Gordon Graves	725	46	
	3.	5,486,278	1/9/1996	Hugo Strubbe, et al.	725	61	
	4.	5,469,206	11/21/1995	Hugo Strubbe, et al.	725	60	
	5.	5,075,771	12/24/1991	Kazou Hashimoto	725	46	
	6.	5,990,927	11/23/1999	John Hendricks, et al.	725	132	
	7.	5,477,262	12/19/1995	Robert Banker, et al.	725	38	
	8.	5,758,257	5/26/1998	Fredrick Herz	725	116	
	9.	4,602,279	7/22/1986	Michael Freeman	725	35	

**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
1.	WO 94/14282	6/23/1994	WIPO				
2.	WO 94/13107	6/9/1994	WIPO				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.